

Resurgence and BPS invariants

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1908.07065: Grassi, Gu, Marino

2007.10190: Garoufalidis, Gu, Marino

2012.00062: Garoufalidis, Gu, Marino

2104.07437: Gu, Marino

How to make sense of an asymptotic series

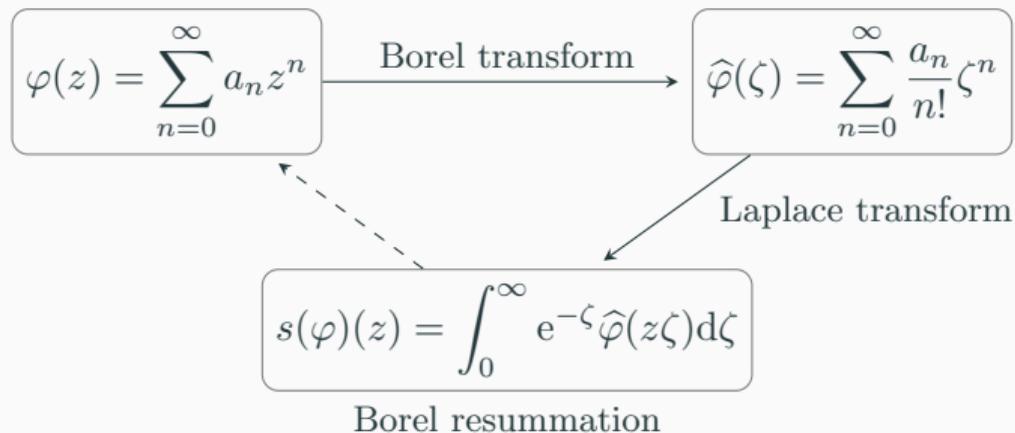
A typical Gevrey-1 asymptotic series in physics

$$\varphi^{(j)}(z) = \sum_{n=0}^{\infty} a_n^{(j)} z^n, \quad a_n^{(j)} \sim \frac{n!}{A_j^n}.$$

- How do we “sum” the asymptotic series?
- Is it possible to connect the series to the (path) integral and the series from other saddles?

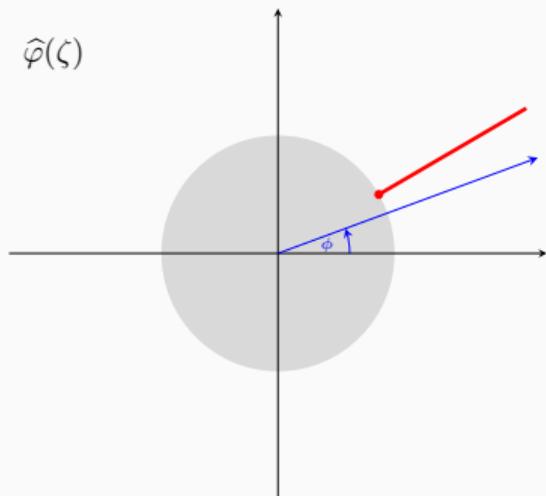
Resurgence theory

Borel resummation



The Borel resummation $s(\varphi)(z)$ reproduces the series $\varphi(z)$ in small z expansion

Borel resummation

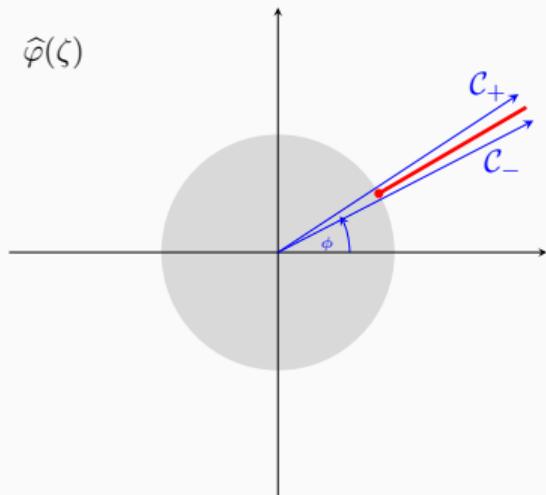


If there is no obstruction along $\phi = \arg z$ in the ζ -plane (Borel plane),

$$s(\varphi)(z) = \int_0^\infty e^{-\zeta} \widehat{\varphi}(e^{i\phi}|z|\zeta) d\zeta,$$

is a well defined integral.

Lateral Borel resummation



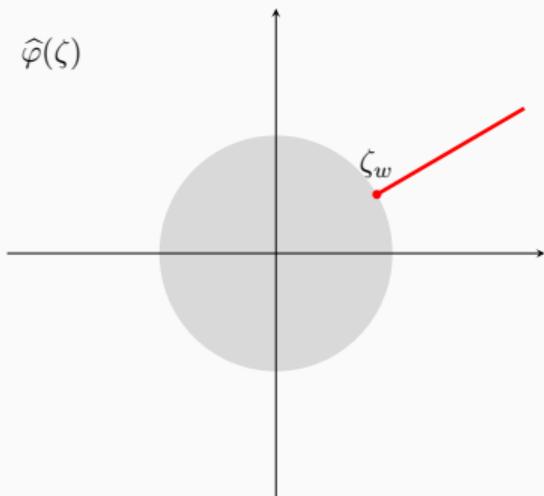
If there is obstruction along $\phi = \arg z$ (Stokes ray), one defines the lateral Borel resummations

$$s_{\pm}(\varphi)(z) = \int_0^{e^{i0^{\pm}} \infty} e^{-\zeta} \widehat{\varphi}(z\zeta) d\zeta,$$

and Stokes discontinuity

$$\text{disc}(\varphi)(z) = s_+(\varphi)(z) - s_-(\varphi)(z).$$

Resurgent functions



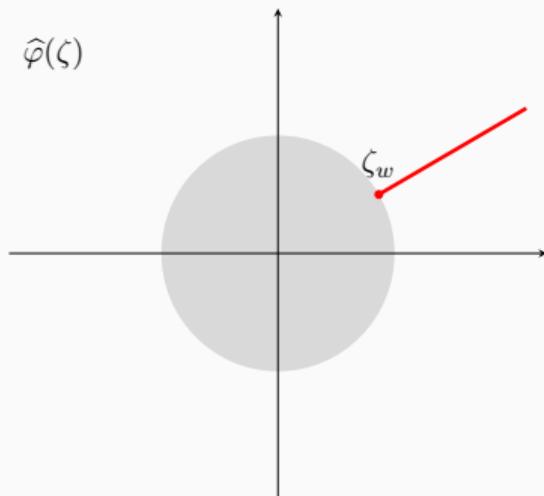
Expansion near ζ_w

$$\widehat{\varphi}(\zeta_w + \xi) = -S_w \frac{\log(\xi)}{2\pi} \widehat{\varphi}_w(\xi) + \widehat{r}_w(\xi)$$

with regular functions $\widehat{r}_w(\xi)$ and

$$\widehat{\varphi}_w(\xi) = \sum_{n \geq 0} a_{n,w} \xi^n,$$

Resurgent functions



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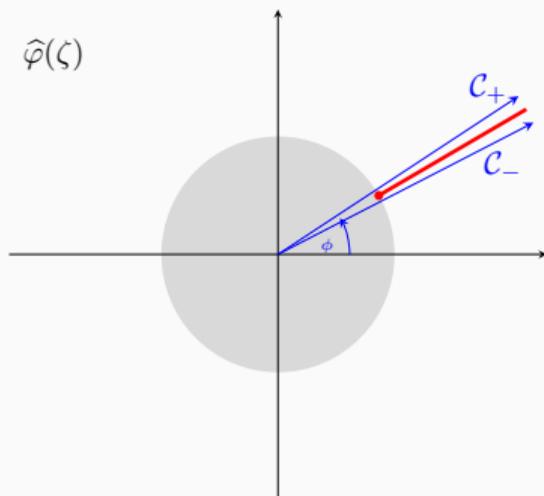
with regular functions $\widehat{r}_w(\xi)$ and

$$\widehat{\varphi}_w(\xi) = \sum_{n \geq 0} a_{n,w} \xi^n,$$

which is regarded as Borel transform of a resurgent series

$$\varphi_w(z) = \sum_{n \geq 0} a_{n,w} z^n, \quad \widehat{a}_{n,w} = \frac{a_{n,w}}{n!}.$$

Resurgent functions and Stokes discontinuity



Resurgence at ζ_w

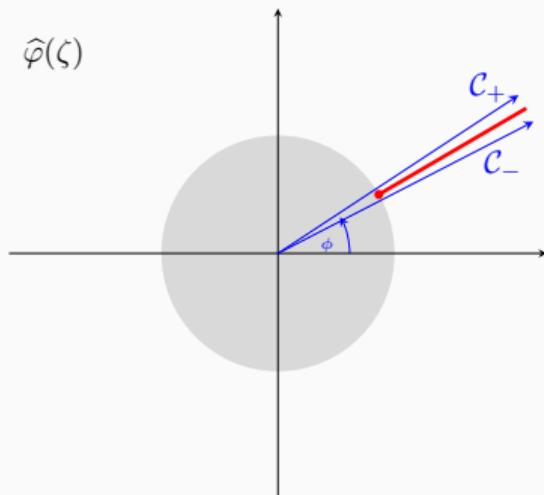
$$\widehat{\varphi}(\zeta_w + \xi) = -S_w \frac{\log(\xi)}{2\pi i} \widehat{\varphi}_w(\xi) + \widehat{r}_w(\xi)$$

implies Stokes discontinuity

$$\text{disc}_\phi \varphi(z) = S_w e^{-\zeta_w/z} s_-(\varphi_w)(z)$$

with Stokes constant S_w .

Resurgent functions and Stokes discontinuity



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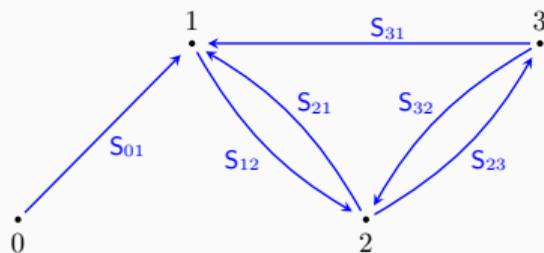
with Stokes constant S_w .

new saddle: $A_w - A_0 = \zeta_w$

Minimal resurgent structure

Starting from one asymptotic series, one finds recursively resurgent asymptotic series, which form a *minimal resurgent structure*:

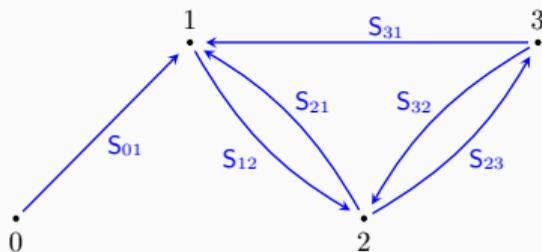
$$\varphi_0(z) \rightarrow \{\varphi_w(z)\} \rightarrow \{S_{ww'}\}$$



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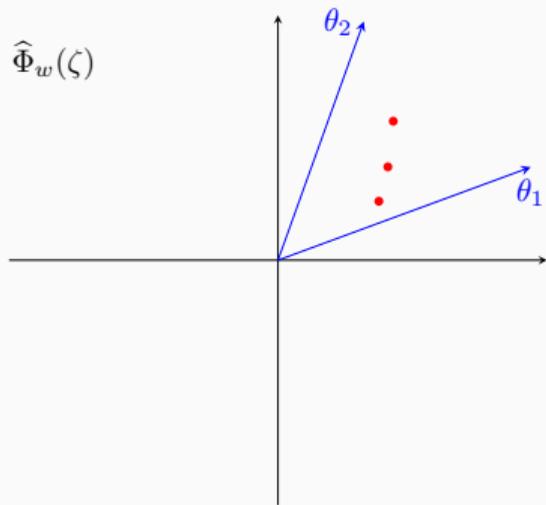


- $\{S_{ww'}\}$ are new invariants, which are *non-perturbative* in nature.
- Sometimes $S_{ww'}$ can be interpreted as counting of BPS states.

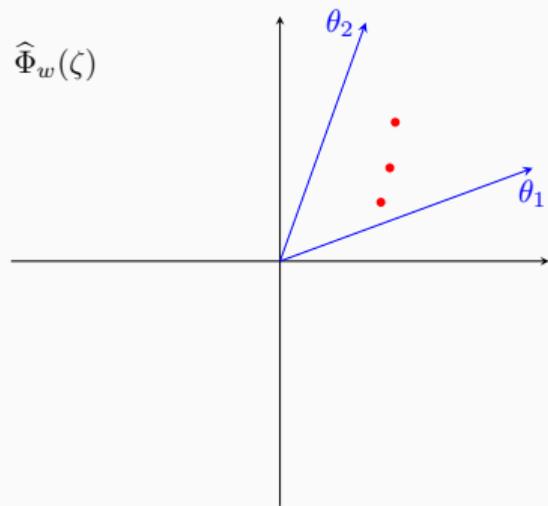
Stokes automorphism

(Local) Stokes automorphism \mathfrak{S}_ϕ at angle ϕ
acting on trans-series $\Phi_w(z) = e^{-A_w/z} \varphi_w(z)$

$$\mathfrak{S}_\phi \Phi_w = \Phi_w + \sum_{\arg(A_{w'} - A_w) = \phi} S_{ww'} \Phi_{w'}.$$



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Global Stokes automorphism between two angles

$$\mathfrak{S}_{\theta_1, \theta_2} = \overleftarrow{\prod}_{\theta_1 < \phi < \theta_2} \mathfrak{S}_\phi.$$

- Ordered product;
- Unique factorisation.

Comparison with Wall-Crossing formula

Let us recall the Wall-Crossing formula of Kontsevich-Soibelman for BPS invariants.

- Let Γ be lattice of elec./mag. charges with pairing \langle, \rangle , functions $\mathcal{X}_\gamma : \mathcal{M} \rightarrow \mathbb{C}^*$.

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- Define symplectomorphism [Kontsevich,Soibelman][Gaiotto,Moore,Neitzke]

$$\mathfrak{S}(\phi) = \prod_{\gamma_{\text{BPS}}: \arg(-Z_{\gamma_{\text{BPS}}}) = \phi} \mathcal{K}_{\gamma_{\text{BPS}}}$$

where $\mathcal{K}_{\gamma_{\text{BPS}}}$ acts by

$$\mathcal{K}_{\gamma_{\text{BPS}}} : \mathcal{X}_\gamma \rightarrow \mathcal{X}_\gamma (1 - \sigma(\gamma_{\text{BPS}}) \mathcal{X}_{\gamma_{\text{BPS}}})^{\Omega(\gamma_{\text{BPS}}) \langle \gamma, \gamma_{\text{BPS}} \rangle}$$

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- Global symplectomorphism (spectrum generator)

$$\mathfrak{S}(\theta_1, \theta_2) = \prod_{\theta_1 < \phi < \theta_2}^{\leftarrow} \mathfrak{S}(\phi).$$

- ▶ Ordered product;
- ▶ Unique factorisation.

Stokes constants vs BPS invariants

Stokes constants (if integers!)

Stokes automorphism

BPS invariants

KS symplectomorphism

Example 1: Seiberg-Witten theory

Seiberg-Witten theory and its BPS spectrum

4d $\mathcal{N} = 2$ pure $SU(2)$ theory has moduli space identified with family of spectral curves

[Seiberg, Wittne]

$$p^2 + 2\Lambda^2 \cosh x = 2u$$

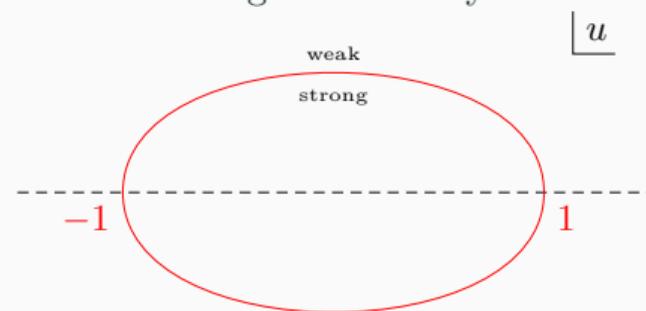
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Curve of marginal stability



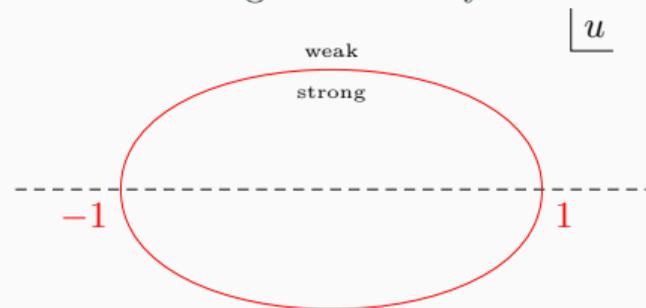
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Curve of marginal stability



BPS spectrum

- $|u| < 1$: Strong coupling

$$\pm(0, 1), \quad \pm(1, 1)$$

- $|u| > 1$: Weak coupling

$$\pm(1, 0), \quad \pm(\ell, 1), \quad \ell \in \mathbb{Z}$$

Quantum spectral curve

$$-\hbar^2 \psi''(x) + 2\Lambda^2 \cosh(x)\psi(x) = E\psi(x)$$

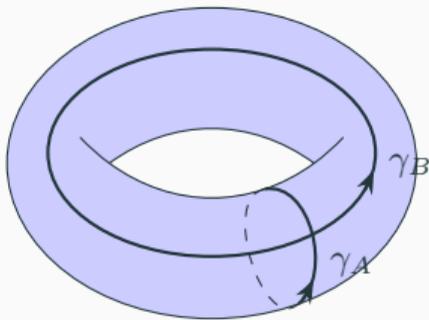
has WKB solutions

$$\psi(x, E) = \exp\left(\frac{i}{\hbar} \int^x p(x, E; \hbar) dx\right)$$

Quantum periods

Classical spectral curve

$H_1(\Sigma)$ gives lattice $\Gamma = \mathbb{Z}^2$ with pairing \langle, \rangle



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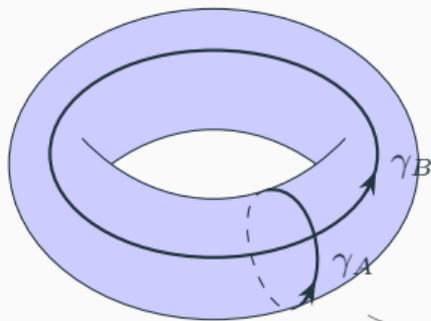
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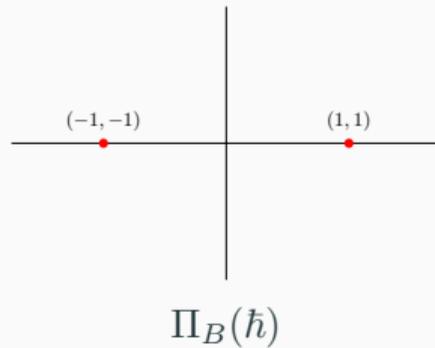
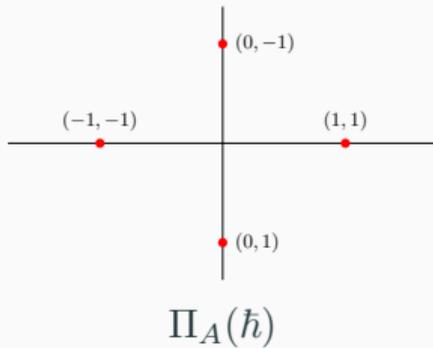
Quantum periods: $\Pi_\gamma(E; \hbar) = \oint_\gamma p(x, E; \hbar) dx = \sum_{n=0} \Pi_\gamma^{(n)}(E) \hbar^{2n}$

Voros symbols: $\Phi_\gamma(E; \hbar) = e^{\frac{1}{\hbar} \Pi_\gamma(E; \hbar)} = e^{\frac{1}{\hbar} \Pi_\gamma^{(0)}(E)} \exp \sum_{n \geq 1} \Pi_\gamma^{(n)}(E) \hbar^{2n-1}$

Stokes automorphism

Borel singularities of quantum periods

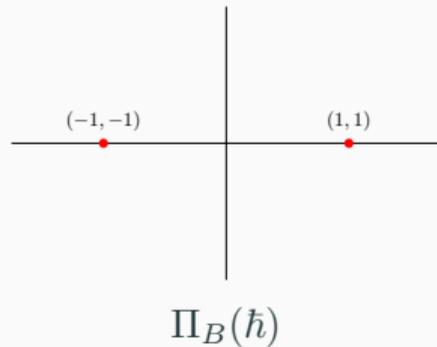
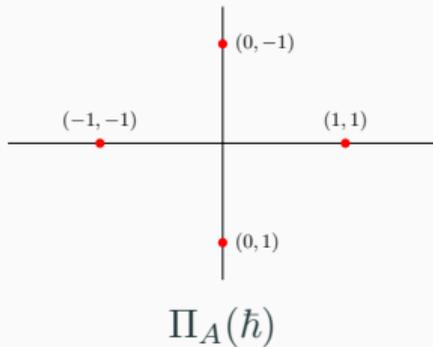
- $u = 0$



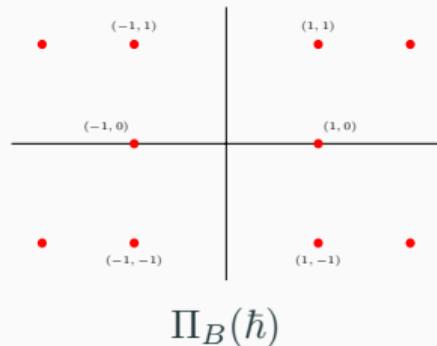
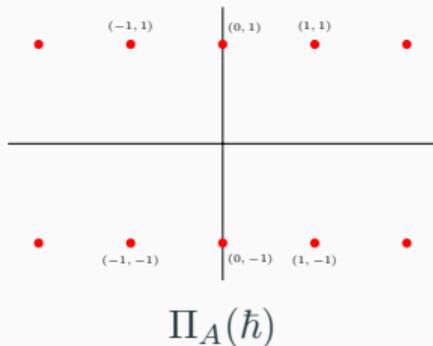
Stokes automorphism

Borel singularities of quantum periods

- $u = 0$



- $u = E/2 = 4$



Identification

A,B cycles

Saddle points

Classical period $\Pi_\gamma^{(0)}$

elec., mag. charges

BPS states

Central charge Z_γ

Identification

A,B cycles		elec., mag. charges
Saddle points		BPS states
Classical period $\Pi_\gamma^{(0)}$		Central charge Z_γ
Voros symbol Φ_γ		function \mathcal{X}_γ
Stokes automorphism		KS symplectomorphism
$\frac{1}{\hbar}\Pi_\gamma \rightarrow \frac{1}{\hbar}\Pi_\gamma + \mathbf{S}_{\gamma\gamma'} \log(1 - \sigma_{\gamma'} e^{\frac{1}{\hbar}\Pi'_\gamma})$	$\mathcal{X}_\gamma \rightarrow \mathcal{X}_\gamma (1 - \sigma_{\gamma_{\text{BPS}}} \mathcal{X}_{\gamma_{\text{BPS}}})^{\Omega_{\gamma_{\text{BPS}}} \langle \gamma, \gamma_{\text{BPS}} \rangle}$	
Stokes constants $\mathbf{S}_{\gamma\gamma'}$		BPS invariants $\Omega_{\gamma_{\text{BPS}}} \langle \gamma, \gamma_{\text{BPS}} \rangle$

Example 2: Complex Chern-Simons theory

- Chern-Simons theory with gauge group $SL(2, \mathbb{C})$ and action [Witten][Gukov]

$$S = \frac{t}{8\pi} \int_M \text{Tr} \left(A \wedge dA + \frac{2}{3} A \wedge A \wedge A \right) \\ + \frac{\bar{t}}{8\pi} \int_M \text{Tr} \left(\bar{A} \wedge d\bar{A} + \frac{2}{3} \bar{A} \wedge \bar{A} \wedge \bar{A} \right)$$

Action and saddle points

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- Saddles are flat connections

$$dA + A \wedge A = 0, \quad A \in SL(2, \mathbb{C}),$$

classified via holonomies

$$\rho : H_1(M) \rightarrow \mathbb{C}.$$

Non-Abelian saddles and state-integrals

- In complex Chern-Simons non-Abelian flat connections are also important with asymptotic expansion [Dimofte,Gukov,Lenells,Zagier]

$$Z^{(\rho)}(M, \hbar) \sim \exp \left(\frac{1}{\hbar} S_0^{(\rho)} - \frac{1}{2} \delta^{(\rho)} \log \hbar + \sum_{n=0}^{\infty} S_{n+1}^{(\rho)} \hbar^n \right), \quad \hbar = 2\pi/t.$$

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- For hyperbolic 3-manifold M , \exists special non-Abelian flat connection called geometric connection so that (Volume Conjecture)

$$S_0^{(\rho)} = \text{Vol}(M) + i \text{CS}(M)$$

and $S_{n+1}^{(\rho)}$ ($n \geq 0$) are in the same algebraic field.

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- The asymptotic series $Z^{(\rho)}(M, \hbar)$ for non-Abelian ρ can be computed by state integral [Hikami][Andersen,Kashaev]

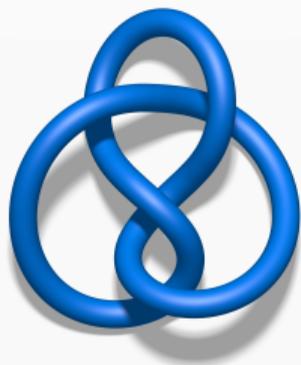
$$Z^{(\rho)}(\hbar) \sim \int_{\mathcal{C}_\rho} P(\Phi_{\mathbf{b}}(v))e^{\pi i Q(v)}dv, \quad \hbar = 2\pi\mathbf{b}^2$$

whose main ingredient is Faddeev's quantum dilogarithm $\Phi_{\mathbf{b}}(v)$.

Example: figure eight complement

- Example: $M = S^3 \setminus 4_1$

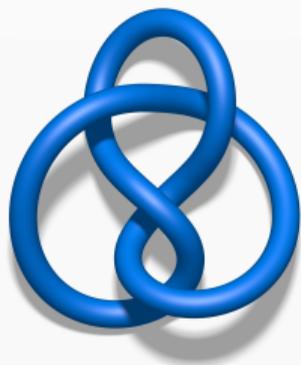
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Non-trivial non-Abelian flat connection

$$Z_g(\hbar) = e^{\frac{\mathcal{V}}{\hbar}} \left(1 + \frac{11\hbar}{72\sqrt{3}} + \frac{697\hbar^2}{2(72\sqrt{3})^2} + \dots \right),$$

$$Z_c(\hbar) = i e^{-\frac{\mathcal{V}}{\hbar}} \left(1 - \frac{11\hbar}{72\sqrt{3}} + \frac{697\hbar^2}{2(72\sqrt{3})^2} + \dots \right) = i Z_g(-\hbar)$$

with $\mathcal{V} = \text{Vol}(S^3 \setminus \mathbf{4}_1) = 2 \text{Im Li}_2(e^{\pi i/3})$.

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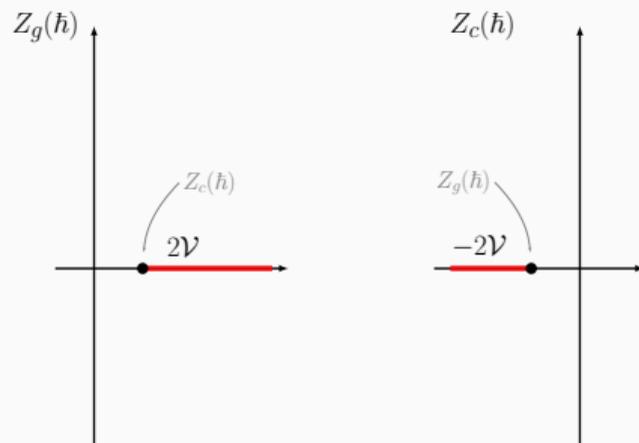
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- $I_{\mathbb{R}}(\mathbf{b})$ factorises to holomorphic, anti-holomorphic blocks with $q = e^{2\pi i \mathbf{b}^2}$, $\tilde{q} = e^{-2\pi i \mathbf{b}^{-2}}$ [Beem,Dimofte,Pasquetti]

$$I_{\mathbb{R}}(\mathbf{b}) \sim G^0(\tilde{q})G^1(q) - \mathbf{b}^{-1}G^1(\tilde{q})G^0(q).$$

Borel singularities

“Classical” Borel singularities [Gukov,Marino,Putrov][Gang-Hatsuda][Garoufalidis-Zagier]

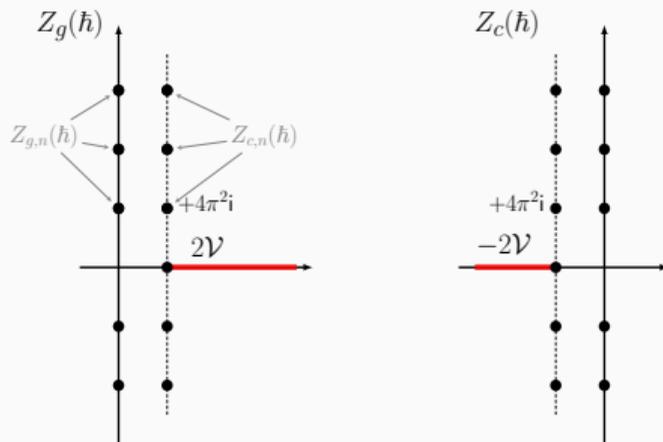


$Z_g(\hbar)$ and $Z_c(\hbar)$ form a minimal resurgent structure.

Borel singularities

More singularities due to multivaluedness of CS action and the state integral potential

[Garoufalidis][Witten][Gukov,Marino,Putrov]



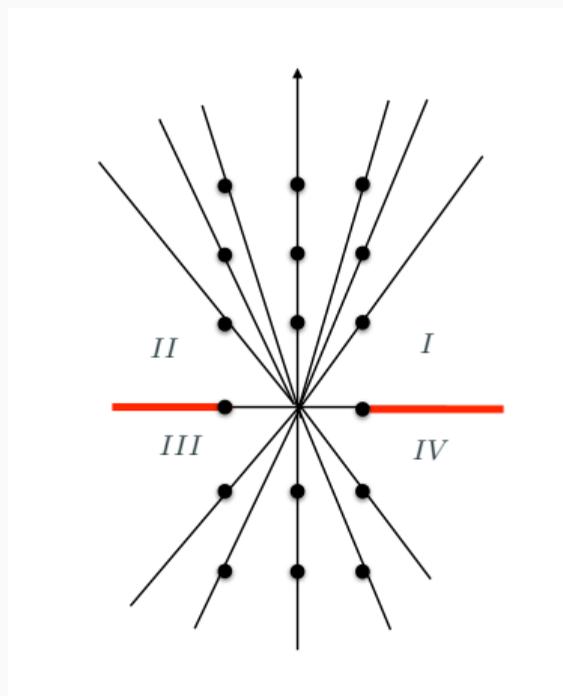
Not one trans-series but a family of trans-series but with the *same* power series

$$Z_{g,n}(\hbar) = Z_g(\hbar)e^{-n\frac{4\pi^2i}{\hbar}},$$

$$Z_{c,n}(\hbar) = Z_c(\hbar)e^{-n\frac{4\pi^2i}{\hbar}}, \quad n \in \mathbb{Z}$$

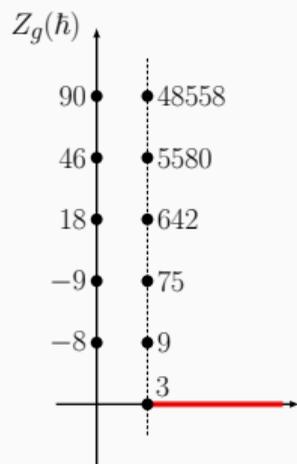
Peacock pattern of Stokes rays

- Stokes rays in the Borel plane for the vector $(Z_g(\hbar), Z_c(\hbar))^T$.



Non-trivial Stokes constants as BPS counting

Despite from trans-series in the same family, the Stokes constants are non-trivial *integers*!



- Generating series of Stokes constants in positive imaginary axis

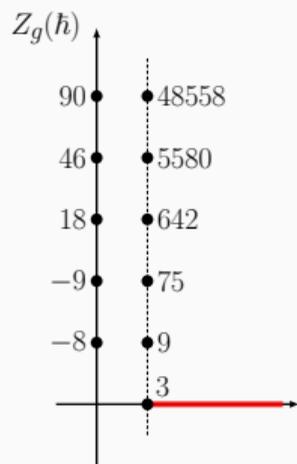
$$S_{gg}^+(q) = 1 - 8q - 9q^2 + 18q^3 + 46q^4 + 90q^5 + \dots, \quad q = e^{4\pi^2 i/\hbar}.$$

(Conjecture) It coincides with index $\text{Ind}(0, 1; q)$ of dual 3d superconformal field theory! [Dimofte, Gaiotto, Gukov]

$$\text{Ind}(m, \zeta; q) = \text{Tr}_{\mathcal{H}_m} (-1)^F q^{\frac{R}{2} + j_3} \zeta^e.$$

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- The generating series for the other Stokes constants are also identified with the index with magnetic flux turned on.

Full spectrum of Stokes constants

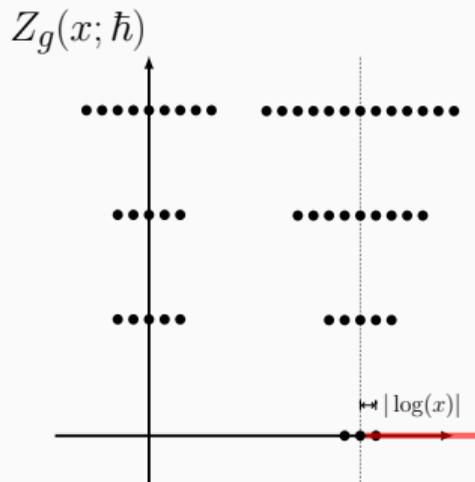
- (Conjecture) Complete set of Stokes constants can be solved!
- The Stokes q -series

$$S_{\sigma\sigma'}^{\pm}(q) = 1 + \sum_{n=1}^{\infty} S_{\sigma\sigma';\pm n} q^{\pm n}, \quad S_{\sigma\sigma';\pm n} \in \mathbb{Z}$$

are given by bilinear expressions in fundamental solutions of the equation

$$y_{m+1}(q) + y_{m-1}(q) - (2 - q^m)y_m(q) = 0$$

Turning on deformation



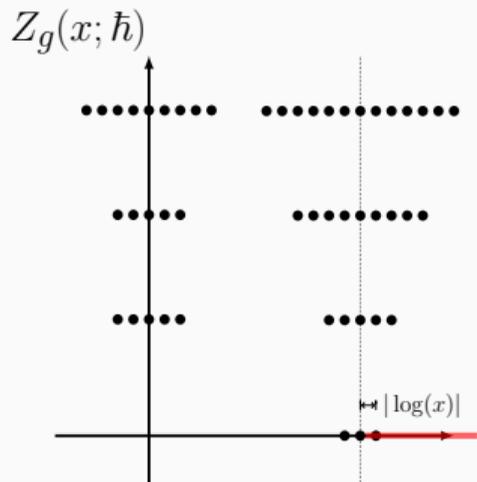
- Turning on deformation of hyperbolic structure

$$Z_{g,c}(\hbar) \rightarrow Z_{g,c}(x; \hbar) \sim e^{-2\pi i u^2} \int_{C_\rho} \Phi_b(z) \Phi_b(z+u) e^{-\pi i(z^2 + 4uz)} dz, \quad x = e^u.$$

- Generating series of Stokes constants in vertical towers

$$\begin{aligned} S_{gg}^+(q) = & 1 - (2x^{-2} + x^{-1} + 2 + x + 2x^2)q \\ & - (x^{-2} + 2x^{-1} + 3 + 2x + x^2)q^2 + \mathcal{O}(q^3) \end{aligned}$$

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- They coincide with the index $\text{Ind}(m, x; q)$ with the flavor fugacity turned on.

Full solution of Stokes constants

- The Stokes q -series

$$S_{\sigma\sigma'}^{\pm}(x; q) = 1 + \sum_{n=1}^{\infty} S_{\sigma\sigma'; \pm n}(x) q^{\pm n}, \quad S_{\sigma\sigma'; \pm n}(x) \in \mathbb{Z}[x^{\pm 1}]$$

are bilinear expressions in fundamental solutions $y_m(x; q)$ of

$$y_{m+1}(x; q) - (x^2 + x - x^3 q^m) y_m(x; q) + x^3 y_{m-1}(x; q) = 0.$$

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- $y_m(x; q)$ are also solutions to q -difference equation $\widehat{A}(S_x, x, q^m, q)$

$$\widehat{A}(S_x, x, q^m, q) \circ y_m(x; q) = \sum_{j=0}^2 C_j(x, q^m, q) y_m(q^j x; q) = 0.$$

$\widehat{A}(S_x, x, 1, 1)$ is the A -polynomial with meridian x^2 and longitude S_x .

Example 3: Topological string theory

Topological string at conifold

Consider topo. string on a (non-)compact Calabi-Yau 3fold X with r Kähler moduli t_i .

- In large volume limit: $t_i \rightarrow \infty$

$$F_g(t) = \sum_{\mathbf{d}} N_{g,\mathbf{d}} e^{-\mathbf{d}\cdot\mathbf{t}}, \quad N_{g,\mathbf{d}} \in \mathbb{Q}$$

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GW invariants $N_{g,\mathbf{d}}$ count numbers of stable maps from worldsheet to X .

- In maximal conifold point: $t_i \rightarrow 0$

$$\mathcal{F}_g(\lambda) = \mathcal{F}_g^s(\lambda) + \mathcal{F}_g^r(\lambda)$$

where the regular part

$$\mathcal{F}_g^r(\lambda) = \sum_{n_i \geq 0} c_{g;\{n_i\}} \prod_i \lambda_i^{n_i}$$

The conifold GW invariants $c_{g;\{n_i\}}$, which are in the same algebraic field, have no clear geometric meaning (yet).

Resurgence of topological string?

It is difficult to study the resurgence of total free energy

$$\mathcal{F}(\lambda, g_s) = \sum_{g \geq 0} \mathcal{F}_g(\lambda) g_s^{2g-2}$$

- According to gauge/gravity correspondence, this is the 't Hooft limit of a dual $\prod_i SU(N_i)$ gauge theory

$$\lambda_i = N_i g_s, \quad \text{with } N_i \rightarrow \infty, g_s \rightarrow 0.$$

- Example: topological string on resolved conifold is dual to $SU(N)$ Chern-Simons theory.

- We study instead the resurgence of *conifold trans-series* at finite N

$$\Phi_N(g_s) = \exp \mathcal{F}_N^r(g_s) \sim e^{\frac{1}{g_s} \sum_i N_i \mathcal{V}_i} (1 + \dots), \quad |g_s| \ll 1,$$

where

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- Find the minimal resurgent structure

$$\Phi_{\sigma_1; N}(g_s) := \Phi_N(g_s) \rightarrow \{\Phi_{\sigma; N}\} \rightarrow \{\mathbf{S}_{\sigma\sigma'; N}\}$$

- Consider models with only one modulus with mirror curve Σ . One obtains a trace class operator ρ_X by quantising Σ [Aganagic,Cheng,Dijkgraaf,Krefl,Vafa][Grassi,Hatsuda,Marino]
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$$\Sigma \longrightarrow \rho_X$$

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- The fermionic trace $Z_N(\hbar) \sim \text{Tr} \rho_X^N + \dots$ of ρ_X is related to the series $\Phi_N(g_s)$ [Grassi-Hatsuda-Marino]

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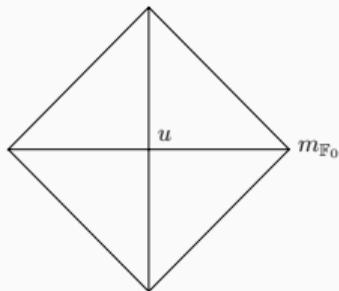
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- **First similarity** with complex Chern-Simons: “state-integral” in terms of quantum dilogarithm

$$Z_N(\hbar) = \int_{\mathbb{R}} P(\Phi_b(x)) e^{\pi i Q(x)} (\dots) dx$$

Example: local \mathbb{F}_0

Toric diagram



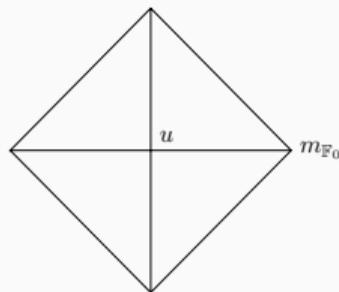
Mirror curve

$$e^x + m_{\mathbb{F}_0} e^{-x} + e^y + e^{-y} + \tilde{u} = 0$$

- One true Kahler modulus asso. to comp. 4-cycle \mathbb{F}_0
- One mass parameter $m_{\mathbb{F}_0}$ asso. to non-comp. 4-cycle; we set $m_{\mathbb{F}_0} = 1$.

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Conifold trans-series at $N = 1$ [Haghighat, Klemm, Rauch]

$$\Phi_{\sigma_1;1}(g_s) = e^{\frac{\mathcal{V}_{\mathbb{F}_0}}{g_s}} \left(1 + \frac{\pi^2}{24} g_s + \frac{73\pi^4}{1152} g_s^2 + \dots \right), \quad \mathcal{V}_{\mathbb{F}_0} = \frac{2C}{\pi^2}.$$

$C = \text{Im Li}_2(i)$: Catalan's constant.

State integral

- The trace class operator is

$$\rho_{\mathbb{F}_0} = \mathbf{O}_{\mathbb{F}_0}^{-1}, \quad \mathbf{O}_{\mathbb{F}_0} = e^x + e^{-x} + e^y + e^{-y}$$

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- The first trace has integral representation [Kashaev, Marino, Zakany]

$$Z_1(\hbar) = \mathrm{Tr} \rho_{\mathbb{F}_0}(\mathbf{b}) = \frac{1}{2\mathbf{b}} \int_{\mathbb{R}} \frac{\Phi_{\mathbf{b}}(x + i\mathbf{b}/4)^2}{\Phi_{\mathbf{b}}(x - i\mathbf{b}/4)^2} e^{i\mathbf{b}x} dx, \quad \hbar = \pi\mathbf{b}^2.$$

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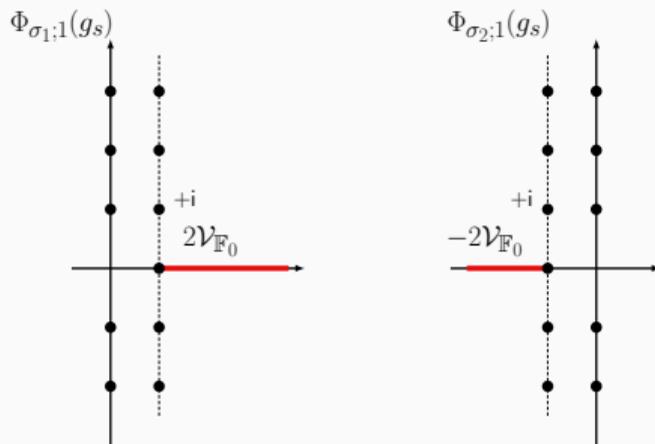
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- **Second similarity** with complex CS: $\text{Tr} \rho_{\mathbb{F}_0}$ factorises to holomorphic and anti-holomorphic blocks

$$\text{Tr} \rho_{\mathbb{F}_0}(\mathbf{b}) = -\frac{i}{2} \left(G_0(q)g_0(\tilde{q}) + 8\mathbf{b}^{-2}g_0(q)\tilde{G}(\tilde{q}) \right).$$

Third similarity: Vertical towers of Borel singularities



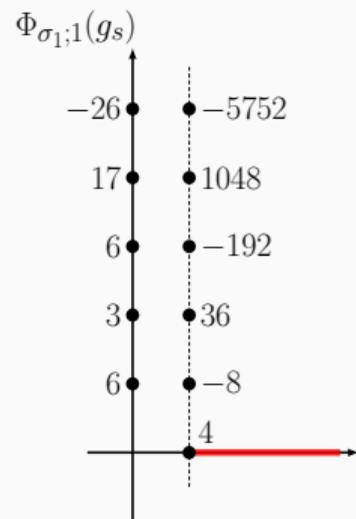
Two families of trans-series with the *same* power series

$$\Phi_{\sigma_1,n;1}(g_s) = \Phi_{\sigma_1;1}(g_s) e^{-n \frac{i}{g_s}},$$

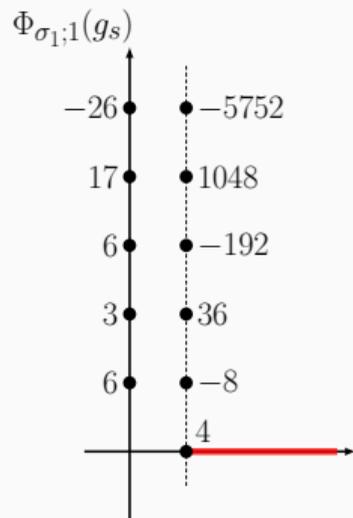
$$\Phi_{\sigma_2,n;1}(g_s) = \Phi_{\sigma_2;1}(g_s) e^{-n \frac{i}{g_s}}.$$

Stokes constants

Stokes constants



Stokes constants



Fourth similarity: The Stokes q -series

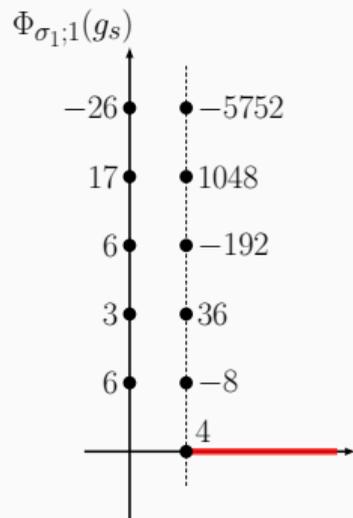
$$S_{\sigma\sigma'}^{\pm}(q) = \sum_{n=1}^{\infty} S_{\sigma\sigma';\pm n} q^{\pm n/2}$$

are given by bilinear combinations in fundamental solutions of the q -difference equation

$$(1 - q^{\frac{3}{2}}x)y(q^2x; q) - q^{1/4}(2 - qx)y(qx; q) + q^{\frac{1}{2}}(1 - q^{\frac{1}{2}}x)y(x; q) = 0$$

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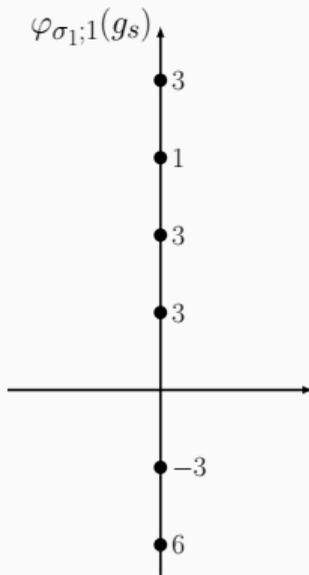
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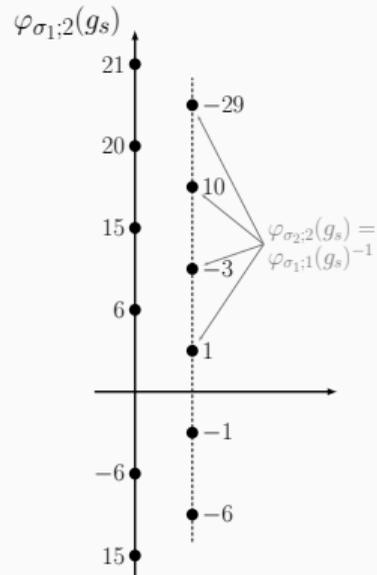
What are the BPS states they are counting?

$N = 1$



$$\varphi_{\sigma_1;1}(g_s) \sim Z_1$$

$N = 2$



$$\varphi_{\sigma_1;2}(g_s) \sim Z_2$$

$$\varphi_{\sigma_2;2}(g_s) = \varphi_{\sigma_1;1}(g_s)^{-1}$$

Conclusions and open questions

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- Stokes constants define new *non-perturbative* invariants.
- In some models (SW theory, complex Chern-Simons, conifold topological string) they are non-trivial integers and are BPS countings,
- and they can be solved completely.

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Open questions

- Proof or physical justification of BPS interpretation of Stokes constants in complex Chern-Simons? [3d-3d correspondence]
- Enumerative meaning of the integer Stokes constants in conifold topological string?
- Pattern of Borel singularities as N grows larger in conifold topological string?
- Resurgent structure of conifold topological string on compact Calabi-Yau?
- Solution to Riemann-Hilbert problem related to Stokes automorphism?

[Gaiotto, Moore, Neitzke][Bridgeland]

Thank you for your attention!